CC RC+ 1634
PTO/SB/30 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TP	Under the Paperwork	Reduction Act of 1995, no persons are required to respond	to a collection of	information unl	ess it displ		control nui		'
	·6)	REQUEST	A	oplication Nun	nber	09/695	,065		
11	2003	FOR	Fil	ling Date		Octobe	er 25, 2	2000	
, '	ONT	INUED EXAMINATION (RCE)	Fil	rst Named Inv	rentor	Micha	el A. E	RAS	СH
	الكويان الم	TRANSMITTAL	Gi	roup Art Unit		1634		-	
ADS	Subsecti	ion (b) of 35 U.S.C. § 132, effective on May 29, 2000, continued examination of an utility or plant application	E)	caminer Name)	Lu, Fr	ank W	ei Mii	 n
	·	filed on or after June 8, 1995. American Inventors Protection Act of 1999 (AIPA).	At	torney Docke	t Number	0942.5	00000	1/RW	/E/
I 	See The	American inventors i rotection Act of 1999 (Air A).				BJD/J	KM_		
Tin	<u>NOTE</u> : 37 C. consider filing term adjustm	t for Continued Examination (RCE) under 3 F.R. § 1.114 is effective on May 29, 2000. If the above-ident g a continued prosecution application (CPA) under 37 C.F.R ent provisions of the AIPA. See Changes to Application Exa. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), whi	tified application (?. § 1.53 (d) (PTC mination and Pro	was filed prior to VSB/29) instead visional Applica	May 29, 2 of a RCE	2000, applicant ma to be eligible for t	ay wish to he patent		
2.	a. Previous i. Company	Amendment/Reply Affidavit(s)/Declaration(s) Affidavit(s)/D	above will be for Reply Bropplication is on shall not red by 37 C. wing fees, or closed sed)	e entered). rief previou requested exceed 3 r	sly filed under 3 nonths;	7 C.F.R. § 1 Fee under 3 In the RCE is	NTER 1600/2900 .103(c) 57 C.F.I	R.§	RECEIVED
_		SIGNATURE OF APPLICANT, AT	TORNEY, OF	R AGENT RI	EQUIRE	D			
Na	Name (Print /Type) BRIAN J. DEL BUONO STERNE, KESSLER, GOLDSTEIN & FQX P.L.L.C.		Registration No. (Attorney/Agent) 4			42,473	2,473		
Sig	gnature	Ecan Tollis	Date	API	sil L	1, 2003			
		CERTIFICATE OF MAIL	ING OR TRA						
l he Co	ereby certify that th ommissioner For Pa	ois correspondence is being deposited with the United atents, Box RCE, Washington, DC 20231, or facsimile	d States Postal e transmitted to	Service as fire the U.S. Pate	st class ment and T	nail in an envelo rademark Office	pe addre on:	essed to):
Na	nme(Print/Type)								
Sig	gnature			Date					

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.



Telephone

Date

202-371-2600

PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Name (Print/Type)

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

ΌΤΔΙ	AMOUNT	OF PAYMENT	(\$)3360.00
OIAL	AIVIOUNI	OFFATIMENT	(\$/3300.00

Complete if Known						
Application Number	09/695,065					
Filing Date	October 25, 2000					
First Named Inventor	Michael A. BRASCH	四				
Examiner Name	Lu, Frank Wei Min	主	D			
Group Art Unit	1634	Ω	Z)			
Attorney Docket No.	0942.5000001/RWE/BJD/JKM	2				

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATION (continued)	5
☐ Check ☑ Credit card ☐ Money Order ☒ Other** ☐ None	3. ADDITIONAL FEES					5003
** Charge any deficiencies or credit any overpayments in the fees or ee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.	3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description				03	
Deposit Account Deposit Account Number 19-0036	Fee Code		Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.	1051	130	2051	65	Surcharge - late filing fee or oath	
The Commissioner is authorized to: (check all that apply)	1502	50	2052	25	Surcharge-late provisional filing fee or cover	
☐ Charge fee(s) indicated below ☐ Credit any over payments	1053	130	1053	130	Non-English specification	
Charge any additional fee(s) during the pendency of this application	1812	2,520	1812		For filing a request for ex parte reexamination	
Charge fee(s) indicated below, except for the filing fee to the above-	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner	
dentified deposit account.					action	
	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	1251	110	2251	55	Extension for reply within first month	
	1252	410	2252	205	Extension for reply within second month	
FEE CALCULATION	1253	930	2253	465	Extension for reply within third month	
1. BASIC FILING FEE	1254	1,450	2254	725	Extension for reply within fourth month	
_arge Entity Small Entity	1255	1,970	2255	985	Extension for reply within fifth month	\$1,970.00
Fee Fee Fee Fee Description Fee Paid	1401	320	2401	160	Notice of Appeal	
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee	1402	320	2402	160	Filing a brief in support of an appeal	
1001 750 2001 375 Utility filing fee	1403	280	2403	140	Request for oral hearing	
1003 520 2003 260 Plant filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1004 750 2004 375 Reissue filing fee	1452	110	2452	55	Petition to revive - unavoidable	
1105 160 2005 80 Provisional filing fee	1453	1,300	2453	650	Petition to revive - unintentional	
(SUBTOTAL (1) (\$) 0.00	1501	1,300	2501	650	Utility issue fee (or reissue)	
SUBTOTAL (1) (\$)0.00 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1502	470	2502	235	Design issue fee	
Fee from Extra below Fee Paid	1503	630	2503	315	Plant issue fee	
Total Claims 72 - 52** = 20 X 18 = 360.00	1460	130	1460	130	Petitions to the Commissioner	
ndep. Claims <u>3 - 10** = 0 X 84 = 0.00</u>	4007	50	1907	50	Processing fee under 37 CFR 1.17(q)	<u> </u>
Multiple Dependent 280.00 = 280.00	1807	50	1807	50	Flocessing lee under 37 CFK 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing a submission after final rejection (37 CFR	
1201 84 2201 42 Independent claims in excess of 3	4040	750	2040	275	1.129(a))	
†203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1204 84 2204 42 **Reissue independent claims over original patent	1801	750	2801	375	Request for Continued Examination (RCE)	\$750.00
1205 18 2205 9 **Reissue claims in excess of 20	1802	900	1802	900	Request for expedited examination of a design application	
and over original patent	Othar	foo (on o	(ifu)		αργιισατίΟΙΙ	L
SUBTOTAL (2) (\$)640.00		fee (spe				
**or number previously paid, if greater; For Reissue, see above	* Red	uced by	Basic	Filing Fe	ee Paid SUBTOTAL (3) (\$)	2,720.00
SUBMITTED BY Complete (if applicable))	

50 Ul Signature

Brian J. Del Buono

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Registration No. (Attorney/Agent)

42,473





Robert Greene Sterne Edward J. Kessler Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Tracy-Gene G. Durkin Michele A. Ciribala Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Alcorn Robert C. Millonig Lawrence B. Bugaisky Donald J. Featherstone Michael V. Messinger Judith U. Kim
Timothy J. Shea, Jr.
Patrick E. Garrett
Heidi L. Kraus
Edward W. Yee
Albert L. Ferro*
Donald R. Banowit
Peter A. Jackman
Molly A. McCall
Teresa U. Medler
Jeffrey S. Weaver
Kendrick P. Patterson
Vincent L. Capuano
Albert J. Fasulo II*
Eldora Ellison Floyd
Thomas C. Fiala
Brian J. Del Buono
Virgil Lee Beaston'

Kimberly N. Reddick Theodore A. Wood Elizabeth J. Haanes Bruce E. Chalker Joseph S. Ostroff Frank R. Cottlingham Christine M. Lhulier Rea Lynn Prengaman Jane Shershenovich' Lawrence J. Carroll' George S. Bardmesser Daniel A. Klein' Rodney G. Maze Jason D. Eisenberg Michael A. Specht' Andrea J. Kamage Tracy L. Muller' Jon E. Wright'

LuAnne M. Yuricek⁺

Registered Patent Agents-Karen R. Markowicz Nancy J. Leith Ann E. Summerfield Helene C. Carlson Gaby L. Longsworth Matthew J. Dowd Aaron L. Schwartz Angelique G. Uy Mary B. Tung Katrina Y. Peli Bryan L. Skelton Robert A. Schwartzman John J. Figueroa Timothy A. Doyle Jennifer R. Mahalingappa Teresa A. Colella Jeffrey S. Lundgren Victoria S. Rutherford

Of Counsel Kenneth C. Bass III Lisa A. Dunner Evan R. Smith

*Admitted only in Maryland *Admitted only in Virginia •Practice Limited to Federal Agencies

April 11, 2003

WRITER'S DIRECT NUMBER: (202) 772-8525 INTERNET ADDRESS: BRIAND@SKGF.COM

Commissioner for Patents Washington, D.C. 20231

Mail Stop RCE

Re:

U.S. Utility Patent Application

Appl. No. 09/695,065; Filed: October 25, 2000

or: Methods of Manipulating and Sequencing Nucleic Acid

Molecules Using Transposition and Recombination

Inventors: BRASCH et al.

Our Ref: 0942.5000001/RWE/BJD/JKM

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Request for Continued Examination Transmittal (Form PTO/SB/30);
- 2. Petition for Extension of Time under 37 C.F.R. § 1.136;
- 3. Fee Transmittal Form PTO/SB/17;
- 4. Amendment and Reply under 37 C.F.R. § 1.111;
- Credit Card Payment Form PTO-2038; and
- 6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

TECH CENTER 1600/2900

Commissioner for Patents April 11, 2003 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Brian J. Del Buono Attorney for Applicants Registration No. 42,473

RWE/BJD/JKM/acr Enclosures

SKGF_DC1:122683.1